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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A.G. Deacon

Serial No.: 10/005,992

Filed: November 8, 2001

For: WAVELENGTH TUNABLE OPTICAL COMPONENTS

PATENT APPLICATION

Group Art Unit: 2874

Examiner:

Supplemental Information Disclosure Statement

Hon. Assistant Commissioner

for Patents

Washington, D.C. 20231

Sir:

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MAY 14 2002  
TECHNOLOGY CENTER 2800

The following information is submitted in compliance with Applicant's duty of disclosure under 37 CFR § 1.56. A copy of each reference is enclosed.

This statement is believed to be filed before the mailing date of a first Office action on the merits. Applicant respectfully request consideration by the PTO of the submitted information.

U.S. Patents

<u>Pat. No.</u>	<u>Patentee</u>	<u>Grant Date</u>
4,006,963	Baues et al.	Feb. 8, 1977
5,182,665	O'Callaghan et al.	Jan. 26, 1993
5,504,772	Deacon et al.	Apr. 2, 1996
5,581,572	Delorme et al.	Dec. 3, 1996

Foreign Patent Documents

<u>Publ. Date</u>	<u>Country</u>	<u>Publ. Date</u>
2 286 057A	U.K.	Aug. 2, 1995

Other References

S. Ura et al., "Electro-Optic Functional Waveguide Using New Polymer p-NAN-PVA for Integrated Photonic Devices", Jpn. J. Appl. Physics, Vol. 31, (1992) pp. 1378-1381.

D.M. Adams et al., "Module-Packaged Tunable Laser and Wavelength Locker Delivering 40 mW of Fibre-Coupled Power on 34 Channels", Electronic Letters, May 24, 2001, Vol. 37, No. 11, pp. 691-693.

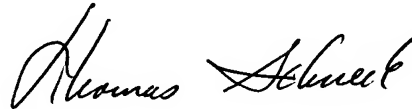
H-F Liu et al., "Polymer Tunable Laser", IEEE Laser & Electro-Optics Society, LEOS 2001, 5 pages.)

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231

Signed: Sally Azevedo  
Typed Name: Sally Azevedo  
Date: May 6, 2002

Respectfully submitted,



Thomas Schneck  
Reg. No. 24,518

P.O. Box 2-E  
San Jose, CA 95109-0005  
(408) 297-9733

FORM PTO-1449

Atty. Docket No.  
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10/005,992LIST OF PRIOR ART  
CITED BY APPLICANTApplicant:  
David A.G. DeaconFiling Date:  
November 8, 2001Group:  
2874

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Grant Date	Name	Class	Sub Class	Filing Date
AA	4,006,963	02/08/77	Baues et al.	350	96C	
AB	5,182,665	01/26/93	O'Callaghan et al.	359	95	
AC	5,504,772	04/02/96	Deacon et al.	372	102	
AD	5,581,572	12/03/96	Delorme et al.	372	50	
AE						
AF						
AG						
AH						
AI						
AJ						

## FOREIGN PATENT DOCUMENTS

Examiner Initial*	Document Number	Publ. Date	Country	Class	Sub Class	Translation Yes No
AK	2 286 057	08/02/95	U.K.			X
AL						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AM	S. Ura et al., "Electro-Optic Functional Waveguide Using New Polymer p-NAn-PVA for Integrated Photonic Devices", Jpn. J. Appl. Physics, Vol. 31, (1992) pp. 1378-1381.
AN	D.M. Adams et al., "Module-Packaged Tunable Laser and Wavelength Locker Delivering 40 mW of Fibre-Coupled Power on 34 Channels", Electronic Letters, May 24, 2001, Vol. 37, No. 11, pp. 691-693.
AO	H-F Liu et al., "Polymer Tunable Laser", IEEE Laser & Electro-Optics Society, LEOS 2001, 5 pages.)

EXAMINER:

DATE CONSIDERED:

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.